

## Aufgabe 6.1

$X$  reflexiv Banach space,  $T \in \mathcal{B}(X)$

Claim:  $\sigma(T) \subseteq \overline{W(T)}$  with  $W(T) := \left\{ x'(Tx) \in \mathbb{C} \mid \begin{array}{l} \|x\| = \|x'\| = 1, \\ x'(x) = 1 \end{array} \right\}$

Proof: Choose  $\lambda \notin \overline{W(T)}$ . Then  $d := \text{dist}(\lambda, W(T)) > 0$ .

Let  $x \in X$  with  $\|x\| = 1$  be arbitrary.

Hahn-Banach  $\Rightarrow$  There is a  $x' \in X'$  with  $\|x'\| = 1$  and  $x'(x) = \|x\| = 1$ .

$$\Rightarrow 0 < d \leq \left| \lambda - \underbrace{x'(Tx)}_{\substack{\in W(T) \\ \uparrow \\ \lambda x'(x) \\ \underbrace{\phantom{\lambda x'(x)}}_{=1}}} \right| = |x'((\lambda - T)x)| \leq \underbrace{\|x'\|}_{=1} \cdot \|(\lambda - T)x\|$$

And therefore:  $\|(\lambda - T)x\| \geq d \cdot \|x\| \quad \forall x \in X$ .

It follows  $(\lambda - T)$  injective ( $(\lambda - T)x = 0 \Rightarrow d\|x\| = 0 \Rightarrow x = 0$ )

$(\lambda - T)^{-1}: \text{Ran}(\lambda - T) \rightarrow X$  is continuous.

$$\left( \|(\lambda - T)^{-1}y\| \leq \frac{1}{d} \|y\| \right)$$

Now we show two things:

(1)  $\text{Ran}(\lambda - T)$  closed

(2)  $\overline{\text{Ran}(\lambda - T)} = X$

Proof of (1): Choose sequence  $(x_n) \subseteq X$  with  $(\lambda - T)x_n \rightarrow y \in X$ .

Since  $\|x_n - x_m\| = \|(\lambda - T)^{-1}(\lambda - T)(x_n - x_m)\| \leq \|(\lambda - T)^{-1}\| \cdot \|(\lambda - T)x_n - (\lambda - T)x_m\|$

$(x_n)$  is a C.S. in  $X$ .  $\overset{X \text{ B.S.}}{\Rightarrow} x_n \rightarrow x \in X$

$\overset{(\lambda - T) \text{ cont.}}{\Rightarrow} (\lambda - T)x_n \rightarrow (\lambda - T)x = y \Rightarrow y \in \text{Ran}(\lambda - T) \Rightarrow \text{Ran closed}$

## Proof of (2)

Assume  $\text{Kern}((T-\lambda)') \neq \{0\}$ . Then there is  $x' \in \text{Kern}((T-\lambda)')$  with  $\|x'\| = 1$ .

By James we have an  $x \in X$  with  $x'(x) = 1$ , ( $\|x\| = 1$ ).

$$\Rightarrow |\lambda - \underbrace{x'(Tx)}_{\in W(T)}| = |x'((T-\lambda)x)| = |(T-\lambda)'(x')(x)| = 0$$

$$\Rightarrow \lambda \in \overline{W(T)}.$$

By contraposition:  $\lambda \notin \overline{W(T)} \Rightarrow \text{Kern}((T-\lambda)') = \{0\}$ .

By Satz 4.32 (Skript):

$$\begin{aligned} \overline{\text{Ran}(T-\lambda)} &= \text{Kern}((T-\lambda)')_{\perp} \\ &= \{0\}_{\perp} = X \end{aligned}$$

With (1), (2):  $\text{Ran}(T-\lambda) = X$  i.e.  $T-\lambda$  surjective.

In combination with the injectivity we get:  $\lambda \notin \sigma(T)$ .

$$\Gamma \text{ So } \lambda \notin \overline{W(T)} \Rightarrow \lambda \notin \sigma(T)$$

$$\text{and } \lambda \in \sigma(T) \Rightarrow \lambda \in \overline{W(T)}$$

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